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Bruno Tocque

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EXAMINER

CALAMITA, HEATHER

ART UNIT

PAPER NUMBER

1637

NOTIFICATION DATE

DELIVERY MODE

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ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentadministrator@clarkelbing.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/070,676	<b>Applicant(s)</b> TOCQUE ET AL.	
	<b>Examiner</b> HEATHER G. CALAMITA	<b>Art Unit</b> 1637	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 09 February 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 79-105, 109-111 and 113-117 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 79-105, 109-111 and 113-117 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

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## DETAILED ACTION

### *Status of Application, Amendments, and/or Claims*

1. Claims 79-105, 109-111 and 113-117 are currently pending and under examination. All arguments have been fully considered and thoroughly reviewed, but are deemed not persuasive for the reasons that follow. Any objections and rejections not reiterated below are hereby withdrawn.

### *Claim Rejections - 35 USC § 103*

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 94-105, 109-111 and 113-117 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schena et al. (PNAS, 1996) in view of Komarova et al. (Oncogene, 1998).

With regard to claims 94, Schena et al. teach a method comprising

(a) preparing labeled nucleic acid probes from mammalian cells contacted with a test compound (see p. 10614 under *materials and methods* col. 2 where the nucleic acid probes are derived from Jurkat cells. Schena et al. teach the cells were either treated with heat (i.e. heat shocked) or not treated (i.e. control) at p. 10615 col. 1 under *Results*).

(b) hybridizing all or part of the nucleic acid probes from step (a) to at least one nucleic acid library capable of detecting the presence of human genes expressed in a cell [see p. 10614 under *Materials and Methods* col. 2 where the nucleic acid probes are derived from Jurkat cells. The library of immobilized nucleic acids is the microarray to which the probes are hybridized. Schena et al. teach the

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cells were treated with heat (i.e. heat shocked) or not treated (i.e. control) at p. 10615 col. 1 under *Results*].

wherein said nucleic acid library is immobilized on a support and comprises at least one control nucleic acid molecule and marker nucleic acid molecules specific for all or a portion of one or more differentially spliced regions of a plurality of human genes wherein said differentially spliced regions are expressed in a human cell which is undergoing or has undergone apoptosis and are indicative in said human cell and (see p. 10614 under *materials and methods* col. 2 where the nucleic acid probes are derived from Jurkat cells. The library of immobilized nucleic acids is the microarray to which the probes are hybridized. Schena et al. teach the cells were either treated with heat (i.e. heat shocked) or not treated (i.e. control) at p. 10615 col. 1 under *Results*. The probes encompass both human genes which are expressed and those which are not. The language of comprising permits the presence of probes for non-expressed genes. The library is made from mRNA which is converted to cDNA. The mRNA from which the cDNA library is made will inherently contain one or more differentially spliced regions of one or more human genes).

(c) detecting hybridization between a plurality of said nucleic acid probes and said marker nucleic acid molecules of said library and

(d) correlating the level of said hybridization with the level of toxicity of said test compound (see p. 10615 col. 1 under *Results* Figure 1 and legend where the profile of the heat shocked cells are compared to the cells that were not heat shocked).

With regard to claim 95, Schena et al. teach the nucleic acid probes are mRNAs from said cells contacted with said test compound (see p. 10615 col. 1 under *Results* first full paragraph, where the mRNA from the Jurkat cells treated with heat was isolated, reverse transcribed into labeled cDNA).

With regard to claim 96, Schena et al. teach the nucleic acid probes are, cDNA nucleic acid molecules or cDNA nucleic acid molecule fragments prepared from said cells contacted with said test

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compound (see p. 10615 col. 1 under *Results* first full paragraph, where the mRNA from the Jurkat cells treated with heat was isolated, reverse transcribed into labeled cDNA).

With regard to claim 97, Schena et al. teach the nucleic acid probes, are amplification products (see p. 10615 col. 1 under *Results* first full paragraph, where the mRNA from the Jurkat cells treated and not treated with heat was isolated, reverse transcribed into labeled cDNA).

With regard to claim 98, Schena et al. teach the nucleic acid probes are labeled by radioactive, fluorescent, enzymatic or colorimetric labels (see p. 10615 col. 1 under *Results* first full paragraph, where the mRNA from the Jurkat cells treated and not treated with heat was isolated, reverse transcribed into labeled cDNA, where the labels are fluorescent, specifically, Cy5 and Fluorescein).

With regard to claim 99, Schena et al. teach the test compound is an individual compound or is present in a mixture of compounds (see p. 10615 col. 1 under *Results* first full paragraph, where the test compound is heat, specifically the cells are heat shocked).

With regard to claim 100, Schena et al. teach the library further comprises nucleic acid molecules specific for genes whose level of expression is modified in a cell (see p. 10614 under *Human cDNA Clones* where the microarray comprises 1046 gene specific cDNAs ).

With regard to claim 101, Schena et al. teach the library is prepared by (i) hybridizing a first nucleic acid population from a human cell which is undergoing or has undergone heat shock and a second nucleic acid population from a cell which is not undergoing or has not undergone heat shock and (ii) separating, from the hybrids formed, nucleic acid molecules comprising an unpaired region (see p. 10614 under *materials and methods* col. 2 where the nucleic acid probes are derived from Jurkat cells, which is a cell line derived from human peripheral blood cells. The library of immobilized nucleic acids is the microarray to which the probes are hybridized. Schena et al. teach the cells were either treated with heat (i.e. heat shocked) or not treated (i.e. control) at p. 10615 col. 1 under *Results* and see p. 10615 col. 1

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under *Results* Figure 1 and legend where the profile of the heat shocked cells are compared to the cells that were not heat shocked).

With regard to claim 109, Schena et al. teach the cells contacted with said test compound are cell lines (see p. 10615 col. 1 under *Results* first full paragraph, where the mRNA is from Jurkat cells, which is a cell line derived from human peripheral blood cells).

With regard to claim 110, Schena et al. teach the cells contacted with said test compound are primary cultures (see p. 10615 col. 1 under *Results* first full paragraph, where the mRNA was isolated from Jurkat cells).

With regard to claim 111, Schena et al. teach the support is selected from the group consisting of a filter, a membrane, a glass plate or a bio-chip (see p. 10614 col. 2 under *Microarray Preparation* where the support is a microscope slide, specifically the microscope slide is glass).

With regard to claim 113, Schena et al. teach the marker nucleic acid molecules are ordered on the support (see p. 10614 col. 2 under *Microarray Preparation*, where the probes are ordered on the support).

With regard to claims 114 and 115, Schena et al. teach the support comprises between 10 and 50,000 different marker nucleic acid molecules (see p. 10614 col. 2 under *Microarray Preparation*, where there are 1046 nucleic acid molecules on the support).

With regard to claim 116, Schena et al. teach the support consists essentially of marker nucleic acid molecules specific for all or a portion of a plurality of differentially spliced regions of one or more human genes expressed in a human cell (see p. 10614 under *materials and methods* col. 2 where the nucleic acid probes are derived from Jurkat cells. The library of immobilized nucleic acids is the microarray to which the probes are hybridized. The probes encompass both human genes which are expressed and those which are not. The library is made from mRNA which is converted to cDNA. The

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mRNA from which the cDNA library is made will inherently contain one or more differentially spliced regions of one or more human genes).

With regard to claim 117, Schena et al. teach the support comprises marker nucleic acid molecules specific for all or a portion of a plurality of differentially spliced region of one or more human genes expressed in a human cell (see p. 10614 under *materials and methods* col. 2 where the nucleic acid probes are derived from Jurkat cells. The library of immobilized nucleic acids is the microarray to which the probes are hybridized. The probes encompass both human genes which are expressed and those which are not. The library is made from mRNA which is converted to cDNA. The mRNA from which the cDNA library is made will inherently contain one or more differentially spliced regions of one or more human genes).

Schena et al. do not teach all of the limitations of claims 94-105 and 108-115. Specifically, with regard to claims 94 and 108, Schena et al. do not teach apoptosis.

With regard to claims 94, 116 and 117, Komarova et al. teach apoptosis (see the abstract, where Komarova et al. teach p53 as controlling cell response to apoptosis. Additionally, Komarova et al. teach using DNA array hybridization to study p53-dependent stress response in tissues exposed to radiation as compared to normal tissue).

With regard to claim 102, Komarova et al. teach apoptosis is induced or enhanced in said human cell (see p. 1090 under Results, where Komarova teaches p53 accumulates in cells treated with radiation resulting in apoptosis).

With regard to claims 103 and 104, Komarova et al. teach oncogenes (see abstract, where p53 is the oncogene).

With regard to claim 105, Komarova et al. teach WAF-1 (see p. 1090 Table 1).

It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to use the method and microarray as taught by Schena et al. to study apoptosis as an indicator

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of the toxicity of compounds applied to cells as taught by Komarova et al. in order to have the efficiency of a chip based approach to study gene expression in humans. As noted by Schena, "Microarrays offer a number of advantages over other potential high capacity approaches to expression analysis. The chip based approach enables small hybridization volumes, high array densities, and the used of fluorescent labeling and detection schemes. The use of cDNA clones provides hybridization specificity that is not readily attained with oligonucleotide arrays. The parallel format of the assay provides a simultaneous differential expression readout for greater than 1000 genes (see the paragraph bridging p. 10618 and 10619)." An ordinary practitioner would have been motivated to use the method and microarray as taught by Schena et al. to study apoptosis as an indicator of the toxicity of compounds applied to cells as taught by Komarova et al. in order to have the efficiency of a chip based approach to study gene expression in humans, as the chip based approach enables small hybridization volumes, high array densities, and the used of fluorescent labeling and detection schemes.

### ***Response to Arguments***

3. Applicants' arguments with respect to the 103 (a) rejections over claims 94-105, 109-111 and 113-117, filed February 9, 2009, have been fully considered but they are not persuasive. Applicants argue the amendment distinguishes the claims over Schena and Komarova because these references describe detection of differential gene expression not detecting the expression of differentially spliced gene products. This argument is not persuasive because as outlined in the rejection above, the nucleic acid molecules of Schena et al. will inherently meet the newly recited limitation of "nucleic acid molecules specific for all or a portion of a plurality of differentially spliced regions" because the nucleic acid molecules are made from mRNA which is converted to cDNA. The mRNA from which the cDNA library is made will inherently contain a plurality of differentially spliced regions of one or more human genes. Applicants' argue Schena and Komarova do not teach use of an apoptosis-specific nucleic acid library



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containing marker nucleic acid molecules specific for all or a portion of one or more regions of nucleic acid molecules that are the product of differential splicing and are indicative of apoptosis. This argument is not persuasive because as outlined in the rejection above Schena et al. teach a library of differentially spliced regions of a plurality of human genes. Schena et al. is not relied on for the teaching of apoptosis specific nucleic acids but rather Komarova is relied for and discloses this teaching. Applicants argue there is no teaching of contacting cells with a test compound that is being analyzed for its toxicity, this argument is not persuasive as Schena et al. teach the cells are treated with heat and then the cells are then measured for the toxicity of heat via a hybridization array. The degree of hybridization of the probes to the array is indicative of how toxic the heat was to the cells as the applied heat induces the expression of a variety of genes which are discerned by hybridization of probes to the array. The greater the intensity of the probe hybridization to the array the greater the toxicity of the heat to the cells. Applicants again argue that Schena do not teach a library prepared from cells exposed to apoptosis-inducing conditions. This argument is not persuasive because Schena is not relied on for this teaching, but rather Komarova is relied on for teaching to study apoptosis as an indicator of the toxicity of compounds. The rejection is therefore maintained.

#### *Allowable Subject Matter*

4. Claims 79-93 are allowed. These claims are interpreted as requiring SEQ ID NO: 16 in its entirety, as such SEQ ID NO: 16 is found to be novel and unobvious over the prior art.

#### *Conclusion*

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

### *Summary*

6. Claims 94-105, 109-111 and 113-117 were not allowable.

### *Correspondence*

7 Any inquiry concerning this communication or earlier communications from the examiner should be directed to Heather G. Calamita whose telephone number is 571.272.2876 and whose e-mail address is heather.calamita@uspto.gov. However, the office cannot guarantee security through the e-mail system nor should official papers be transmitted through this route. The examiner can normally be reached on Monday through Thursday, 7:00 AM to 5:30 PM.

If attempts to reach the examiner are unsuccessful, the examiner's supervisor, Gary Benzion can be reached at 571.272.0782.

Papers related to this application may be faxed to Group 1637 via the PTO Fax Center using the fax number 571.273.8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to 571.272.0547.

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/GARY BENZION/

Supervisory Patent Examiner, Art Unit 1637